



#9188  
9/1/01  
PATENT

Attorney Docket No. 255.0004 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): SHERIDAN et al. )  
Serial No.: 09/727,739 )  
Confirmation No. 4181 )  
Filed: December 1, 2000 )  
For: SOMATOSTATINS AND METHODS )

Group Art Unit: 1646  
Examiner: Ruixiang Li

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AUG 30 2001

TECH CENTER 1600/2900

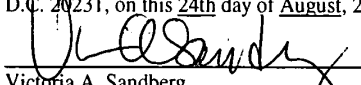
COMMUNICATION REGARDING SUBSTITUTE CRF COPY  
OF SEQUENCE LISTING

Assistant Commissioner for Patents  
Attn: Box SEQUENCE  
Washington, D.C. 20231

This communication is in response to the Examiner's Communication and Notice to Comply With Requirements mailed on August 8, 2001.

The Examiner indicated on the Notice to Comply With Requirements that the computer readable form (CRF) of the Sequence Listing submitted by Applicants on April 24, 2001, was damaged and/or unreadable.

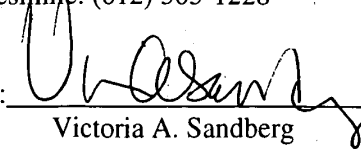
A substitute CRF copy of the Sequence Listing is submitted herewith as required by 37 C.F.R. §1.825(d). Applicants submit that the contents of the substitute CRF copy of the Sequence Listing and the paper copy of the Sequence Listing mailed April 24, 2001, are the same.

<p><b>CERTIFICATE UNDER 37 C.F.R. 1.8:</b></p> <p>The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to Assistant Commissioner for Patents, Attn: Box SEQUENCE, Washington, D.C. 20231, on this 24th day of August, 2001.</p> <p> Victoria A. Sandberg</p>
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August 24, 2001  
Date

Respectfully submitted,

SHERIDAN et al.  
By  
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By:   
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**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):



- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☒ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

PatentIn Software Program Support (SIRA)

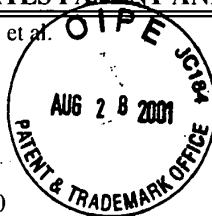
Technical Assistance.....703-308-6900

To Purchase PatentIn Software.....703-306-2600

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mark A. Sheridan et al. Group Art Unit: 1646  
 Serial No.: 09/727,739 Examiner: Ruixiang Li  
 Confirmation No.: 4181 Docket No.: 255.0004 0101  
 Filed: December 1, 2000  
 Title: SOMATOSTATINS AND METHODS



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Assistant Commissioner for Patents  
 Attn: Box SEQUENCE  
 Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
- ☒ A return postcard.
- ☐ A Petition for Extension of Time for \_\_\_ month (1 pg.) and a check in the amount of \$\_\_\_ for the required fee.
- ☐ An Information Disclosure Statement (\_\_\_ pgs); 1449 forms (\_\_\_ pgs); and copies of \_\_\_ documents cited on the 1449 forms.
- ☐ A check in the amount of \$\_\_\_, representing \_\_\_\_\_.
- ☒ Communication Regarding Substitute CRF Copy Of Sequence Listing (1 pg.); copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosure (1 pg); and Substitute computer readable form (CRF) copy of Sequence Listing (one diskette).

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$40 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$135 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box SEQUENCE, Washington, D.C. 20231, on this 24th day of August, 2001.

MUETING, RAASCH & GEBHARDT, P.A.  
 Customer Number: 26813

By:   
 Victoria A. Sandberg  
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 Facsimile: 612-305-1228

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.8)